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FORM PTO-1390 (REV. 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 52433/659

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/914112

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATES CLAIMED
PCT/JP00/01127	(25.02.00) 25 February 2000	(26.02.99) 26 February 1999 (20.12.99) 20 December 1999

TITLE OF INVENTION
COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR FORMING AND USE THEREOF

APPLICANT(S) FOR DO/EO/US
Yoichiro MORI; Makoto YAMAZAKI; Ryousuke WAKE

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
    ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification and marked-up specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Search Report, PCT/RO/101, first page of published WO 00/50526

~~PTO/SB/17 (10-00)~~ ~~Approved for use through 10/31/2002. OMB 0651-0032~~

~~Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE~~

~~Box Patent Application~~ ~~23 AUG 2001~~

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/914112	PCT/JP00/01127	52433/659

17. ☒ The following fees are submitted:

	CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)):		
Search Report has been prepared by the EPO or JPO $860.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) $690.00		
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) $710.00		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO $1,000.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) $100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =	$ 860.00	
Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	$	

Claims	Number Filed	Number Extra	Rate		
Total Claims	24 - 20 =	4	X $18.00	$ 72.00	
dependent Claims	3 - 3 =	2	X $80.00	$ 0.00	
ultiple dependent claim(s) (if applicable) **			+ $270.00		
TOTAL OF ABOVE CALCULATIONS =				$ 932.00	
eduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must lso be filed. (Note 37 CFR 1.9, 1.27, 1.28).				$	
***Upon entry of Preliminary Amendment** **SUBTOTAL =**				$ 932.00	
rocessing fee of $130.00 for furnishing the English translation later the ☐ 20 ☐ 30 months om the earliest claimed priority date (37 CFR 1.492(f)). +				$	
TOTAL NATIONAL FEE =				$ 932.00	
ee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be ccompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property +				$	
TOTAL FEES ENCLOSED =				$ 932.00	
				Amount to be: refunded	$
				charged	$

a. ☐ A check in the amount of $_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 11-0600 in the amount of **$ 932.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to 11-0600. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) Deposit Account No. or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Edward W. Greason
SIGNATURE

26646
PATENT TRADEMARK OFFICE

Edward W. Greason, Reg. No. 18,918
NAME

Aug 27, 2001
DATE

403207

[52433/659]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Yoichiro MORI, et al.
Serial No.	Not Yet Assigned
Filing Date	Herewith
Title	COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR FORMING AND USE THEREOF

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

In the title:

--(Amended) COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR FORMING AND USE THEREOF --

In the claims:

Please amend the following claims:

8. (Amended) A coating composition according to claim 1, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

11. (Amended) A lubricating surface treated metal article according to claim 9, wherein the polyurethane resin (A') comprises a polyester polyol.

12. (Amended) A lubricating surface treated metal article according to claim 9, wherein the polyurethane resin (A') contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

16. (Amended) A lubricating surface treated metal article according to claim 9, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

19. (Amended) A process according to claim 17, wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

20. (Amended) A process according to claim 17, wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

24. A process according to claim 17, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

REMARKS

This Preliminary Amendment is being submitted to eliminate multiple dependent claims.

It is respectfully submitted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Attached hereto is a marked-up version of the changes made to the title by the current amendment. The attached page is captioned "Versions with markings to show changes made."

Respectfully submitted,

Dated: Aug 23, 2001

By: Edward W Greason

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

The claims have been amended as follows:

8. A coating composition according to [any one of claims 1 to 5] claim 1, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

11. A lubricating surface treated metal article according to claim 9 [or 10], wherein the polyurethane resin (A') comprises a polyester polyol.

12. A lubricating surface treated metal article according to claim 9 [or 10], wherein the polyurethane resin (A') contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

16. A lubricating surface treated metal article according to [any one of claims 9 to 14] claim 9, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

19. A process according to claim 17 [or 18], wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

20. A process according to claim 17 [or 18], wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

24. A process according to [any one of claims 19 to 23] claim 17, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

PATENT
Docket No. 52433/659

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR	:	MORI et al.
SERIAL NO.	:	09/914,112
FILED	:	August 23, 2001
FOR	:	COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR SHAPE WORKING, AND USES THEREFOR
GROUP ART UNIT	:	Not Yet Assigned
EXAMINER	:	Not Yet Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: October 31, 2001 Reg. No. 29,182

Signature: John J. Kelly, Jr.

SUPPLEMENTAL AMENDMENT

Sir:

Further to the Preliminary Amendment filed in the Patent Office on August 23, 2001 in the above-identified patent application, Applicants are providing the following amendments in order to further eliminate multiple dependencies. Please further amend the application as follows:

In the claims:

Please amend the following claims:

3. (Amended) A coating composition according to claim 1, wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

4. (Amended) A coating composition according to claim 1, wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt

thereof as a hydrophilic group.

REMARKS

This Supplemental Amendment is being submitted to eliminate all multiple dependent claims.

It is respectfully submitted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Attached hereto is a marked-up version of the changes made by the current amendment. The attached page is captioned "Versions with markings to show changes made."

Respectfully submitted,

Dated: October 31, 2001

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416241

VERSION WITH MARKINGS TO SHOW CHANGES MADE

The claims have been amended as follows:

3. A coating composition according to claim 1 [or 2], wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

4. A coating composition according to claim 1 [or 2], wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

1/pr

SPECIFICATION

COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR SHAPE WORKING, AND USES THEREFOR

Technical Field

The present invention relates to a coating composition capable of forming an alkali-soluble lubricating film suitable for shape working, to lubricating surface treated metal articles coated with the coating composition, and to a process for production of metal articles that are coated with the coating composition and shape worked.

Background Art

Working processes such as press molding of steel sheets have conventionally been carried out while applying lubricating oils, and the like, for the purpose of preventing scratches to the steel sheet surface and molding die surface that occur due to lack of lubrication. This has not only complicated the production process and impaired the working environment, but has necessitated lubricant removal using solvents such as fluon, trichloroethane, dichloromethane and the like in the degreasing step after press molding; such solvents are undesirable from the standpoint of worker health and the environment.

Much study has therefore been prompted by the desire to provide lubricating, surface-treated metal articles capable of being press molded into desired shapes without using such solvents. There have been developed non-strippable lubricant films exhibiting workability, corrosion resistance, solvent resistance, etc. as lubricant films that remain even after press molding, and strippable lubricant films wherein the lubricant films are dissolved and degreased during the alkali degreasing step after press molding. Alkali-strippable lubricating films are used to bring out attractive metal surfaces and

for purposes that require weldability after working.

In Japanese Unexamined Patent Publication HEI No. 8-156177, No. 8-252887 and No. 10-114014 there have been proposed alkali-strippable lubricating films employing acrylic-based resins. However, alkali-strippable lubricating films employing acrylic-based resins often fail to offer adequate shapeability, as they undergo scratching under severe press molding conditions such as deep drawing or wipe working.

It is an object of the present invention to solve the problems mentioned above by providing a coating composition with excellent press moldability and scratch resistance, which can form alkali-soluble lubricating films suitable for shape working, as well as lubricating surface treated metal articles coated with the coating composition.

Disclosure of the Invention

The present inventors have conducted much diligent research aimed at solving the aforementioned problems and obtaining a coating composition with excellent press moldability and scratch resistance, which can form alkali-soluble lubricating films suitable for shape working, as well as lubricating surface treated metal articles. As a result they have completed the present invention upon finding that this object can be achieved by a coating composition comprising an aqueous polyurethane composition and a lubriction functionality-providing agent.

Specifically, the coating composition capable of forming an alkali-soluble lubricating film according to the invention (1) is characterized in that it contains as main components an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the solid content of the aqueous polyurethane composition, and in that the coating composition is film-formable and its formed films are

alkali-soluble. This coating composition (1) that comprises an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) exhibits sufficient lubricating properties and ground layer protection even under severe shape working conditions such as press working, deep drawing, wipe working and roll forming.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (2) is characterized in that it contains, in addition to the aqueous polyurethane composition (A) and the lubricating functionality-providing agent (B), also silica particles (C) at 1-30 wt% with respect to the solid content of the aqueous polyurethane composition.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (3) is a coating composition according to (1) or (2) above characterized in that the aqueous polyurethane composition (A) comprises a polyester polyol. This aqueous polyurethane composition (A) that comprises a polyester polyol can easily provide the alkali solubility required for the invention.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (4) is a coating composition according to (1) or (2) above characterized in that the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group, or a salt thereof, as a hydrophilic group. The aqueous polyurethane composition (A) wherein the hydrophilic group is a carboxyl group or sulfonic acid group or a salt thereof can exhibit excellent adhesion with metal sheet surfaces, and provide adequate shapeability under severe press molding conditions such as deep drawing and wipe working.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (5) is a coating composition according to (1)

or (2) above characterized in that the hydrophilic group of the aqueous polyurethane composition (A) is a Na salt or K salt of a carboxyl group or sulfonic acid group. The carboxyl group or sulfonic acid group as the hydrophilic group of the aqueous polyurethane composition (A) is neutralized by the Na or K to achieve a satisfactory stripping property with alkali degreasing agents.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (6) is a coating composition according to (1) or (2) above characterized in that the carboxyl group or sulfonic acid group as the hydrophilic group of the aqueous polyurethane composition (A), or its salt, is included to an acid value in the range of 25-180. The aqueous polyurethane composition (A) wherein the carboxyl group or sulfonic acid group as the hydrophilic group, or its salt, is included to an acid value in the range of 25-180, provides a satisfactory stripping property with alkali degreasing agents even at room temperature.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (7) is a coating composition according to (3) above characterized in that the polyester polyol in the aqueous polyurethane composition (A) is prepared by reacting ethylene glycol with an aliphatic dibasic acid or its dialkyl ester, or a mixture thereof. The aqueous polyurethane composition (A) comprising a polyester polyol composed of ethylene glycol and a dicarboxylic acid can easily provide the alkali solubility required for the invention even at lower temperatures such as room temperature.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (8) is a coating composition according to (1) or a coating composition according to (2) above characterized in that the hydrophilic group of the

aqueous polyurethane composition (A) is a carboxyl group or sulfonic acid group, or a salt thereof. The aqueous polyurethane composition (A) wherein the hydrophilic group is a carboxyl group or sulfonic acid group or salt thereof can exhibit excellent adhesion with metal sheet surfaces, and provide adequate shapeability under severe press molding conditions such as deep drawing and wipe working.

The coating composition capable of forming an alkali-soluble lubricating film according to the invention (6) is a coating composition according to (1) to (5) above, characterized in that the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes. The lubricating surface treated metal articles of the invention are steel sheets with excellent press moldability and scratch resistance, characterized by being coated with any of the aforementioned coating compositions to a dry film thickness of 0.5-5 μm.

According to the invention there are provided surface treated metal articles obtained by coating metal surfaces with the aforementioned coating compositions capable of forming alkali-soluble lubricating films, and a process for production of metal articles involving shape working of such surface treated metal articles.

Specifically, a lubricating surface treated metal article according to the invention is characterized in that a lubricating film comprising a polyurethane resin (A') and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the polyurethane resin, is formed on the surface of a metal article, wherein the lubricating film is alkali soluble and the film thickness is 0.5-5 μm.

The process for production of metal articles according to the invention is characterized by comprising

i) a step of coating the surface of a metal article

with a coating composition containing an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the solid content of the aqueous polyurethane composition (A), the aqueous polyurethane composition being film-formable and the formed film being alkali soluble, to form an alkali-soluble lubricating film,

ii) a step of shape working the metal article surface treated with the alkali-soluble lubricating film, and

iii) a step of treating the shape worked metal article with an alkali to remove the alkali-soluble lubricating film.

Brief Description of the Drawings

Figs. 1 to 3 are illustrations of a process in which a coating composition capable of forming an alkali-soluble lubricating film according to the invention is coated onto a steel sheet surface, the lubricating film-formed steel sheet is shape worked and the lubricating film is then removed.

Best Mode for Carrying Out the Invention

The invention will now be explained in further detail.

As a result of diligent research on a coating composition that exhibits adequate shapeability under severe press molding conditions such as deep drawing or wipe working and that forms alkali-soluble lubricating films which can be dissolved and degreased in an alkali degreasing step after press molding, and on lubricating surface treated metal articles coated with the coating composition, the present inventors have determined that a coating composition comprising an aqueous polyurethane composition and a lubricating functionality-providing agent is able to provide this desired performance.

The aqueous polyurethane composition of the invention is prepared by dissolving or dispersing in

water a polyurethane resin obtained by reacting a compound having at least two active hydrogen groups per molecule with a compound having at least two isocyanate groups per molecule. An advantageous reaction method is one in which a compound having two active hydrogen groups is reacted with a compound having at least two isocyanate groups per molecule, under an excess of isocyanate groups in terms of the ratio of isocyanate groups to active hydrogen groups (NCO groups/OH groups), to first prepare an isocyanate group-containing polyurethane prepolymer, dissolving or dispersing this in water, and then reacting it with a chain extender such as a polyamine compound to produce a higher molecular weight polymer. For preparation of the polyurethane prepolymer, the ratio of the isocyanate groups and active hydrogen groups is preferably 1.1-3.0, and more preferably 1.2-2.0.

The molecular weight of the polyurethane resin in the aqueous polyurethane composition of the invention is, for example, a weight average molecular weight of 10,000-1,000,000, and preferably 50,000-500,000. Thus, the molecular weight of the polyurethane resin film obtained from the aqueous polyurethane composition of the invention is the same.

First, the compound having at least two active hydrogen groups per molecule will be explained.

As compounds having at least two active hydrogen groups per molecule there may be mentioned, for example, compounds with groups having an active hydrogen, such as amino groups, hydroxyl groups and mercapto groups, but in consideration of the reaction rate with the isocyanate groups and the mechanical properties after coating, compounds with hydroxyl groups are preferred. The number of functional groups in the compound having active hydrogen groups is preferably 2-6, and especially 2-4, from the standpoint of satisfactorily supporting the mechanical properties of the coating.

The molecular weight of the compound having active

hydrogen groups is preferably 200-10,000, and especially 300-5000, from the standpoint of the final density of urethane bonds contributing to the coating performance and the workability during production.

As examples of compounds wherein the active hydrogen groups are hydroxyl groups there may be mentioned polyester polyols, polyether polyols, polyether ester polyols, polyesteramide polyols, acryl polyols, polycarbonate polyols, polyhydroxyalkanes, castor oil, polyurethane polyols and mixtures thereof.

As specific polyester polyols there may be mentioned polyester polyols obtained by reaction of, for example, dibasic acids such as terephthalic acid, isophthalic acid, adipic acid, azelaic acid and sebacic acid, or their dialkyl esters or mixtures thereof, with for example, glycols such as ethylene glycol, propylene glycol, diethylene glycol, butylene glycol, neopentyl glycol, 1,6-hexaneglycol, 3-methyl-1,5-pentanediol, 3,3'-dimethylolheptane, polyoxyethylene glycol, polyoxypropylene glycol and polytetramethylene ether glycol, or their mixtures, and polyester polyols obtained, for example, by ring-opening polymerization of lactones such as polycaprolactone, polyvalerolactone and poly(β-methyl-γ-valerolactone).

As specific polyether polyols there may be mentioned polyether polyols obtained by polymerization of, for example, oxirane compounds such as ethylene oxide, propylene oxide, butylene oxide or tetrahydrofuran using, for example, water or a low molecular weight polyol such as ethylene glycol, propylene glycol, trimethylolpropane or glycerin as the initiator.

As specific polyether ester polyols there may be mentioned polyether ester polyols obtained by reaction of, for example, dibasic acids such as terephthalic acid, isophthalic acid, adipic acid, azelaic acid and sebacic acid, or their dialkyl esters or mixtures thereof, with the aforementioned polyether polyols.

As specific polyesteramide polyols there may be mentioned those obtained by reaction of an amino group-containing aliphatic diamine such as ethylenediamine, propylenediamine or hexamethylenediamine as a starting material in addition to the starting materials for the polyesterification reaction product, during the aforementioned polyesterification reaction.

As specific acryl polyols there may be mentioned those obtained by copolymerization of a polymerizable monomer with one or more hydroxyl groups in the molecule, such as hydroxyethyl acrylate, hydroxypropyl acrylate or hydroxybutyl acrylate, or its corresponding methacrylic acid derivative, with, for example, acrylic acid, methacrylic acid or an ester thereof.

As specific polycarbonate polyols there may be mentioned those obtained by reacting, for example, one or more different glycols selected from the group consisting of ethylene glycol, propylene glycol, 1,3-propanediol, 1,4-butanediol, 1,5-pentanediol, 1,6-hexanediol, 1,9-nonanediol, 1,8-nonanediol, neopentylglycol, diethyleneglycol, dipropyleneglycol, 1,4-cyclohexanediol, 1,4-cyclohexanedimethanol and bisphenol A, with dimethyl carbonate, diphenyl carbonate, ethylene carbonate, phosgene or the like.

As specific polyhydroxyalkanes there may be mentioned polyisoprene, polybutadiene and liquid rubbers obtained by copolymerization of butadiene and acrylamide.

As specific polyurethane polyols there may be mentioned polyols with a urethane bond in each molecule, wherein the polyol is obtained by reaction with a compound with at least two isocyanate groups per molecule as described later, for example a polyether polyol, polyester polyol or polyether ester polyol with a molecular weight of 200-5000, to less than 1, and preferably no greater than 0.9 moles of (NCO groups/OH groups).

In addition to the polyols mentioned above, there

may be included low molecular weight polyols with a molecular weight of 62-200. As specific examples of such low molecular weight polyols there may be mentioned glycols used in the production of polyester polyols, such as ethylene glycol, propylene glycol, 1,3-propanediol, 1,4-butanediol, 1,5-pentanediol, 1,6-hexanediol, 1,9-nonanediol, 1,8-nonanediol, neopentylglycol, 2-methylpentanediol, 3-methylpentanediol, 3,3-dimethylolheptane, 2,2,4-trimethyl-1,3-pentanediol, 2,4-diethyl-1,5-pentanediol, diethyleneglycol, dipropyleneglycol, 1,4-cyclohexanediol and 1,4-cyclohexanedimethanol, as well as compounds such as glycerin, trimethylolpropane and pentaerythritol.

Any of these compounds with different active hydrogen groups may be used, but in order to achieve alkali solubility, polyester polyols and polyurethane polyols composed of polyester polyols are preferred. For alkali solubility at low temperatures such as room temperature, there are preferred polyester polyols obtained by reacting ethylene glycol with an aliphatic dibasic acid such as adipic acid, azelaic acid or sebacic acid, or its dialkyl ester or a mixture thereof.

Compounds having at least two isocyanate groups per molecule will now be explained.

As compounds with at least two isocyanate groups per molecule to be used for the invention there may be mentioned polyisocyanate monomers, including aliphatic diisocyanates, for example, trimethylene diisocyanate, tetramethylene diisocyanate, hexamethylene diisocyanate, pentamethylene diisocyanate, 1,2-propylene diisocyanate, 1,2-butylene diisocyanate, 2,3-butylene diisocyanate, 1,3-butylene diisocyanate, 2,4,4- or 2,2,4-trimethylhexamethylene diisocyanate and 2,6-diisocyanatemethyl caproate; alicyclic diisocyanates, for example, 1,3-cyclopentane diisocyanate, 1,4-cyclohexane diisocyanate, 1,3-cyclohexane diisocyanate, 3-isocyanatemethyl-3,5,5-trimethylcyclohexyl isocyanate,

4,4'-methylenebis(cyclohexylisocyanate), methyl-2,4-cyclohexane diisocyanate, methyl-2,6-cyclohexane diisocyanate, 1,4-bis(isocyanatemethyl)cyclohexane, 1,3-bis(isocyanatemethyl)cyclohexane and norbornane diisocyanate; aromatic diisocyanates, for example, m-phenylene diisocyanate, p-phenylene diisocyanate, 4,4'-diphenyl diisocyanate, 1,5-naphthalene diisocyanate, 4,4'-diphenylmethane diisocyanate, 2,4- or 2,6-tolylene diisocyanate or mixtures thereof, 4,4'-toluidine diisocyanate, dianisidine diisocyanate and 4,4'-diphenylether diisocyanate; aromatic/aliphatic diisocyanates, for example, 1,3- or 1,4-xylylene diisocyanate or mixtures thereof, ω,ω'-diisocyanate-1,4-diethylbenzene and 1,3- or 1,4-bis(1-isocyanate-1-methylethyl)benzene or mixtures thereof; triisocyanates, for example, triphenylmethane-4,4',4"-triisocyanate, 1,3,5-triisocyanatebenzene, 2,4,6-triisocyanatetoluene and 1,3,5-triisocyanatehexane; tetraisocyanates, for example, 4,4'-diphenyldimethylethane-2,2'-5,5'-tetraisocyanate; as well as dimers, trimers, biurets, allophanates, carbodiimides derived from these polyisocyanate monomers; polyisocyanates with 2,4,6-oxadiazinetrione rings obtained from carbon dioxide gas and these polyisocyanate monomers; and addition products of low molecular weight polyols of molecular weight less than 200 such as, for example, ethylene glycol, propylene glycol, butylene glycol, 1,6-hexaneglycol, neopentylglycol, 3-methyl-1,5-pentanediol, 3,3'-dimethylolheptane, cyclohexanedimethanol, diethyleneglycol, triethyleneglycol, dipropyleneglycol, glycerol, trimethylolpropane, pentaerythritol and sorbitol, to the aforementioned polyisocyanate monomers.

Any of these compounds with different isocyanate groups may be used, but aromatic, aromatic/aliphatic or aliphatic isocyanate compounds are preferred in order to achieve satisfactory working properties.

In the aqueous polyurethane composition of the

invention, a hydrophilic group is introduced or a surfactant is added to the polyurethane prepolymer for dissolution or dispersion of the polyurethane prepolymer in water.

For introduction of a hydrophilic group into the polyurethane prepolymer, for example, at least one type of compound having at least one active hydrogen group in the molecule and containing a hydrophilic group such as a carboxyl, sulfonic acid, sulfonate, epoxy or polyoxyethylene group, may be copolymerized during production of the polyurethane prepolymer.

As hydrophilic group-containing compounds there may be mentioned sulfonic acid-containing compounds such as, for example, 2-oxyethanesulfonic acid, phenolsulfonic acid, sulfobenzoic acid, sulfosuccinic acid, 5-sulfoisophthalic acid, sulfanilic acid, 1,3-phenylenediamine-4,6-disulfonic acid, 2,4-diaminotoluene-5-sulfonic acid and their derivatives, as well as polyester polyols obtained by copolymerization thereof; carboxyl group-containing compounds such as, for example, 2,2-dimethylolpropionic acid, 2,2-dimethylolbutyric acid, 2,2-dimethylolvaleric acid, dioxymaleic acid, 2,6-dioxybenzoic acid, 3,4-diaminobenzoic acid and their derivatives, as well as polyester polyols obtained by copolymerization thereof; carboxyl group-containing compounds obtained by reaction of compounds with anhydrous groups such as maleic anhydride, phthalic anhydride, succinic anhydride, trimellitic anhydride and pyromellitic anhydride with compounds having active hydrogen groups, as well as derivatives thereof; and nonionic group-containing compounds such as polyethylene-polyalkylene copolymers of molecular weight 300-10,000 containing at least 3 wt% of repeating ethylene oxide units and containing at least one active hydrogen group in the polymer, as well as polyether ester polyols obtained by copolymerization thereof. For the copolymerization, these hydrophilic group-containing

compounds may be used alone or in combinations of two or more.

A surfactant may also be used for further improved aqueous solubility or dispersability of the hydrophilic group-containing polyurethane prepolymer, or for dissolution or dispersion in water of a polyurethane prepolymer containing no hydrophilic group in the molecule.

As surfactants there may be used nonionic surfactants such as polyoxyethylene nonylphenyl ether or polyoxyethylene-oxypropylene block copolymer, or anionic surfactants such as sodium lauryl sulfate or sodium dodecylbenzenesulfonate.

However, soap-free types containing no surfactants are preferred from the standpoint of performance including adhesion to steel sheets, and in particular there are preferred aqueous polyurethane compositions containing carboxylic group-containing compounds and/or sulfonic acid groups.

In order to achieve a satisfactory stripping property at room temperature, there are preferred aqueous polyurethane compositions containing carboxyl groups and/or sulfonic acid groups, or their salts, to an acid value of 25-180.

When anionic groups such as carboxylate groups or sulfonate groups are used as hydrophilic groups in the aqueous polyurethane composition, a neutralizing agent may be used for satisfactory dissolution or dispersion in water.

As examples of neutralizing agents to be used for neutralization there may be mentioned basic substances including tertiary amines such as ammonia, triethylamine, triethanolamine, triisopropanolamine, trimethylamine and dimethylethanolamine, and hydroxides of alkali metals such as sodium hydroxide and potassium hydroxide. These may be used alone or in mixtures of two or more, but in order to achieve a satisfactory stripping property with

alkali stripping agents, it is preferred to use sodium hydroxide and/or potassium hydroxide.

The method of adding the neutralizing agent may be direct addition to the polyurethane prepolymer, or addition to the water during dissolution or dispersion in water. The amount of neutralizing agent added is 0.1-2.0 equivalents, and more preferably 0.3-1.3 equivalents, with respect to the hydrophilic groups.

An organic solvent may be used during synthesis of the polyurethane prepolymer. When used, the organic solvent may specifically be, for example, acetone, methyl ethyl ketone, ethyl acetate, acetonitrile or N-methylpyrrolidone. The amount of the organic solvent with respect to the reaction starting materials is preferably about 3-50 wt%.

The polyurethane prepolymer is dissolved or dispersed in water using a homogenizer, mixer or the like. The temperature is preferably from room temperature to about 70°C to prevent evaporation of the basic substances neutralizing the hydrophilic groups and to ensure handleability. The concentration of the aqueous polyurethane composition when dispersed in a medium such as water is preferably 10-50 wt%, in order to avoid excessively increasing the viscosity and to maintain the shelf-life.

Reaction with other chain extenders can give higher molecular weights. The chain extenders used may be publicly known polyamine compounds or the like. As examples of polyamine compounds there may be mentioned diamines such as ethylenediamine, 1,2-propanediamine, 1,6-hexamethylenediamine, piperazine, 2,5-dimethylpiperazine, isophoronediamine, 4,4'-dicyclohexylmethanediamine, 3,3'-dimethyl-4,4'-dicyclohexylmethanediamine and 1,4-cyclohexanediamine; polyamines such as diethylenetriamine, dipropylenetriamine, triethylenetetramine and tetraethylenepentamine; compounds with amino groups and

hydroxyl groups, such as hydroxyethyl hydrazine, hydroxyethyl diethylenetriamine, 2-[(2-aminoethyl)amino]ethanol and 3-aminopropanediol; as well as hydrazines and acid hydrazines. These polyamine compounds may be used alone or in mixtures of two or more.

A polyurethane emulsion according to the invention may also, if necessary, contain an added film-forming aid for the purpose of improving the coating formability.

As specific examples of film-forming aids there may be mentioned alcohols such as methyl alcohol, ethyl alcohol, n-propyl alcohol, isopropyl alcohol, n-butyl alcohol, isobutyl alcohol, hexyl alcohol, octyl alcohol and 2,2,4-trimethyl-1,3-pentanediol monoisobutyrate; ethers such as cellosolve, ethyl cellosolve, butyl cellosolve, diethyleneglycol monoethylether, diethyleneglycol monobutylether, propyleneglycol monoethylether, propyleneglycol monobutylether, dipropyleneglycol monoethylether, dipropyleneglycol monobutylether, dipropyleneglycol monoisobutylether, tripropyleneglycol monoethylether, tripropyleneglycol monobutylether and tripropyleneglycol monoisobutylether; and glycol ether esters such as butylcellosolve acetate, diethyleneglycol monobutylether acetate, dipropyleneglycol monobutylether acetate, tripropyleneglycol monobutylether acetate and tripropyleneglycol monoisobutylether acetate. These adjuvant solvents may also be used alone or in mixtures of two or more, as necessary.

The lubricating functionality-providing agent will now be explained.

The lubricating functionality-providing agent has the function of further contributing to the lubricity by lowering the surface friction coefficient, and preventing scratching for improved press workability and wipe working properties. The lubricating functionality-providing agent may be any one which provides lubrication

for the resulting film, but preferred are one or more types from among polyolefin-based agents (polyethylene, polypropylene, etc.), fluorine-based agents (polytetrafluoroethylene, polychlorotrifluoroethylene, polyvinylidene fluoride, polyvinyl fluoride, etc.), paraffin-based agents and stearic acid-based waxes.

The mean particle size for a granular lubricating functionality-providing agent is no greater than 5 μm. At greater than 5 μm the continuity and uniformity of the film is lost, the cohesion with the ground layer and the coating adhesion are reduced and peeling of the agent with the lubricating function occurs, while the shelf-life of the coating composition is also reduced. A more preferred mean particle size for the lubricating functionality-providing agent is in the range of 0.5-4 μm.

The amount of the lubricating functionality-providing agent added is preferably 1-30 wt% with respect to the solid content of the aqueous polyurethane composition. At less than 1% the required lubricating effect cannot be obtained. At greater than 30 wt% problems may occur such as reduced film strength and peeling of the agent with the lubricating function. A more preferred content for the agent with the lubricating function is in the range of 5-20 wt%.

In the alkali-soluble lubricating film-forming coating composition of the invention, silica (C) is added to improve the film strength and the cohesion with the steel sheet. Silica particles may be any type of silica particles including water-dispersible colloidal silica, crushed silica and vapor phase silica. In consideration of the film workability and corrosion resistance, the primary particle size is preferably 2-30 nm and the secondary aggregate particle size is preferably no greater than 100 nm.

The amount of silica added is preferably 1-30 wt% with respect to the solid content of the aqueous

polyurethane composition. At less than 1% a sufficient effect of improvement in corrosion resistance may not be obtained and sufficient adhesion may not be exhibited with the ground layer. At greater than 30%, extension of the film will be reduced, tending to lower the workability and produce scratching.

The lubricating resin film of the invention may also contain, in addition to components (A, A'), (B) and (C), also added pigments for decorative design, or conductive additives to confer conductivity, as well as thickeners, defoaming agents, dispersing agents, desiccators, stabilizers, anti-skinning agents, anti-fungal agents, preservatives, freeze proofing agents and the like depending on the purpose and within a range that does not impair the properties of the resin.

The thickness of the lubricating resin film of the invention is preferably in the range of 0.5-5 μm. If the thickness is less than 0.5 μm it may not be possible to prevent scratches produced by pressure during working from reaching the plating layer, and the required workability may not be achieved for sliding. At 5 μm or more, a greater amount of coat peeling powder will be released during shaping thus making more frequent die care necessary, and therefore the productivity will be compromised. The lubricating resin film of the invention may be coated on either or both sides of a metal plate, as necessary.

The method of forming the lubricating resin film of the invention may include coating by a conventional publicly known method for polyurethane coating compositions, such as roll coater coating, wringer roll coating, spray coating, bar coater coating, air knife draw coating, immersion coating, brush coating or the like, followed by drying and baking in a metal sheet carry-over temperature range of 40-200°C during a drying step in a hot air furnace, induction heating furnace, infrared furnace or the like.

According to the invention, the ground layer may be further subjected to phosphate treatment or chromate treatment to achieve better corrosion resistance or adhesion. The chromating treatment in this case may be electrolytic chromating, reactive chromating or application chromating treatment. The chromate film is preferably one formed by coating and drying a chromate solution containing one or more from among silica, phosphoric acid and hydrophilic resins.

The phosphate coverage is preferably in the range of 0.5-3.5 g/m^2 in terms of the phosphate. The chromate coverage is preferably in the range of 5-150 mg/m^2 and more preferably 10-50 mg/m^2 in terms of metallic chromium. At less than 5 mg/m^2 it will not be possible to obtain an excellent corrosion resistance effect, and at greater than 150 mg/m^2 the workability during shaping may be impaired by aggregate destruction of the chromate film, etc.

Depending on the purpose, the ground layer may also be subjected to acid washing treatment, alkali treatment, electrolytic reduction treatment, cobalt plating treatment, nickel plating treatment, silane coupling treatment or inorganic silicate treatment.

Metal articles that are suitable for application of the present invention include zinc-based electroplated, hot-dip plated and vapor deposition plated steel sheets, such as zinc-plated steel sheets, zinc/nickel-plated steel sheets, zinc/iron-plated steel sheets, zinc/chromium-plated steel sheets, zinc/aluminum-plated steel sheets, zinc/titanium-plated steel sheets, zinc/magnesium-plated steel sheets and zinc/manganese-plated steel sheets; aluminum- or aluminum alloy-plated steel sheets; lead- or lead alloy-plated steel sheets; tin- or tin alloy-plated steel sheets; as well as these plated layers containing trace amounts of different metal elements or containing cobalt, molybdenum, tungsten, nickel, titanium, chromium, aluminum, manganese, iron,

magnesium, lead, antimony, tin, copper, cadmium, arsenic or the like as impurities; and/or those with an inorganic substance such as silica, alumina, titania or the like dispersed therein. There may also be applied composite layer platings comprising combinations of the aforementioned platings with other types of platings, such as iron plating or iron/phosphorus plating.

There may also be used stainless steel sheets, cold-rolled steel sheets, hot-rolled steel sheets, zinc sheets, zinc alloy sheets, aluminum sheets, aluminum alloy sheets and the like.

The steel sheet on which the lubricating film of the invention is formed may be further coated with a lubricating oil or lubricating rust preventive oil. However, a lubricating oil or lubricating rust preventive oil that is coated is preferably one that does not cause expansion of dissolution of the lubricating film of the invention.

The metal article such as a steel sheet on which the lubricating film of the invention has been formed is then subjected to shape working including press working, deep drawing, wipe working, roll forming, etc. Even under such severe conditions of shape working, metal articles such as steel sheets on which lubricating films of the invention have been formed exhibit adequate shapeability and lubricating properties due to the shape working resistance of the polyurethane and the lubricating action of the lubricating functionality-providing agent, and are therefore resistant to metal surface scratching, scratching, etc.

After shape working of a metal article on which a lubricating film of the invention has been formed in this manner, the lubricating film of the metal article may be alkali-treated for removal.

The condition of metal after lubricating film formation, shape working and lubricating film removal, where an alkali-soluble lubricating film-forming coating

composition according to the invention has been used, will now be explained, with reference to Figs. 1 to 3. A steel sheet 1 is coated with an alkali-soluble lubricating film-forming coating composition of the invention to form a lubricating film 2 (Fig. 1). The steel sheet 1 on which the lubricating film 2 has been formed is, for example, worked by deep drawing to obtain a molded product 3. The molded product comprises a steel sheet 4 and a lubricating film 5, and no scratches or scratching are seen in the steel sheet 4 (Fig. 2). After shape working, the metal product 3 is treated with an alkali solution by immersion or spraying to remove the lubricating film 5, thus obtaining a metal product 4 as the final product (Fig. 3).

Examples

The present invention will now be further illustrated by way of examples.

1. Test samples

(1) Metal sheet types

The following metal sheets were used for coating of lubricating films according to the invention.

Zinc-electroplated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 20 g/m^2)

Zinc/nickel alloy-electroplated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 20 g/m^2)

Zinc/iron alloy-electroplated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 20 g/m^2)

Zinc hot-dip plated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 150 g/m^2)

Zinc/iron alloy hot-dip plated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 45 g/m^2)

Zinc/aluminum alloy hot-dip plated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 150 g/m^2)
Aluminum/silicon hot-dip plated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 50 g/m^2)
Aluminum/silicon/magnesium hot-dip plated steel sheet
(sheet thickness: 0.8 mm, plating coverage: 50 g/m^2)
Stainless steel sheet
(sheet thickness: 0.8 mm, SUS430, 2B finishing)
Aluminum alloy sheet (sheet thickness: 0.8 mm)
Cold-rolled steel sheet (sheet thickness: 0.8 mm)

(2) Chromating treatment

A roll coater was used to coat the above plated steel sheets with a coating chromate solution containing colloidal silica added to chromic acid with a chromium reduction rate (Cr(VI)/total Cr) of 0.4, to a chromium coverage of 20 mg/m^2 in terms of metallic chromium, and the plated steel sheets were heated and dried to form chromate films. The stainless steel sheet, aluminum alloy sheet and cold-rolled sheet were not chromate treated.

2. Production of aqueous polyurethane composition (Production Example 1)

To a four-necked flask equipped with a stirrer, Dimroth condenser, nitrogen introduction tube, silica gel drying tube and thermometer there were added 137.32 g of 3-isocyanatemethyl-3,5,5-trimethylcyclohexyl isocyanate, 42.21 g of dimethylolpropionic acid, 9.46 g of triethylene glycol, 126.01 g of a polyester polyol of molecular weight 2000 comprising adipic acid and ethylene glycol, and 135.00 g of ethyl acetate as a solvent, and after heating to 75°C in a nitrogen atmosphere, 0.05 g of dibutyltin dilaurate was further added thereto and mixed

therewith for 5 hours, after which attainment of the prescribed number of amino equivalents was confirmed, to obtain an ethyl acetate solution of a polyurethane prepolymer. A Homodisper was used to disperse 402.86 g of this polyurethane prepolymer ethyl acetate solution in an aqueous solution obtained by dissolving 11.28 g of sodium hydroxide in 650.00 g of water, for emulsification, and then 6.72 g of hydrazine monohydrate diluted with 50.00 g of water was added for chain extension reaction, and the ethyl acetate used for synthesis of the polyurethane prepolymer was distilled off under reduced pressure of 150 mmHg at 50°C, to obtain polyurethane emulsion A containing substantially no solvent and having a solid content of 30% and a viscosity of 100 cps.

(Production Example 2)

To a four-necked flask equipped with a stirrer, Dimroth condenser, nitrogen introduction tube, silica gel drying tube and thermometer there were added 107.28 g of 1,3-bis(isocyanatemethyl)cyclohexane, 21.96 g of dimethylolpropionic acid, 14.23 g of neopentyl glycol, 136.54 g of a polyester polyol of molecular weight 2000 comprising adipic acid and ethylene glycol, and 120.00 g of N-methylpyrrolidone as a solvent, and after heating to 70°C in a nitrogen atmosphere and stirring for 5 hours, attainment of the prescribed number of amino equivalents was confirmed, to obtain an N-methylpyrrolidone solution of a polyurethane prepolymer. A Homodisper was used to disperse 375.96 g of this polyurethane prepolymer solution in an aqueous solution obtained by dissolving 29.46 g of triisopropanolamine in 537.21 g of water, for emulsification, and then 7.37 g of hydrazine monohydrate diluted with 50.00 g of water was added for chain extension reaction, to obtain polyurethane emulsion B having a solid content of 30% and a viscosity of 50 cps.

(Production Example 3)

To a four-necked flask equipped with a stirrer,

Dimroth condenser, nitrogen introduction tube, silica gel drying tube and thermometer there were added 101.91 g of 1,3-bis(isocyanatemethyl)cyclohexane, 20.86 g of dimethylolpropionic acid, 13.52 g of neopentyl glycol, 129.71 g of a polycarbonate diol of molecular weight 2000 and 114.00 g of acetonitrile as a solvent, and after heating to 70°C in a nitrogen atmosphere and stirring for 5 hours, attainment of the prescribed number of amino equivalents was confirmed, to obtain an acetonitrile solution of a polyurethane prepolymer. A Homodisper was used to disperse 377.82 g of this polyurethane prepolymer solution in an aqueous solution obtained by dissolving 28.12 g of triisopropanolamine in 630 g of water, for emulsification, and then 7.41 g of hydrazine monohydrate diluted with 70.00 g of water was added for chain extension reaction, and the acetonitrile used for synthesis of the polyurethane prepolymer was distilled off under reduced pressure of 150 mmHg at 50°C, to obtain polyurethane emulsion C containing substantially no solvent and having a solid content of 30% and a viscosity of 20 cps.

(Production Example 4)

To a four-necked flask equipped with a stirrer, Dimroth condenser, nitrogen introduction tube, silica gel drying tube and thermometer there were added 87.11 g of 3-isocyanatemethyl-3,5,5-trimethylcyclohexyl isocyanate, 31.88 g of 1,3-bis(1-isocyanate-1-methylethyl)benzene, 41.66 g of dimethylolpropionic acid, 4.67 g of triethylene glycol, 62.17 g of a polyester polyol of molecular weight 2000 comprising adipic acid, neopentyl glycol and 1,6-hexanediol, and 122.50 g of acetonitrile as a solvent, and after heating to 70°C in a nitrogen atmosphere and stirring for 4 hours, attainment of the prescribed number of amino equivalents was confirmed, to obtain an acetonitrile solution of a polyurethane prepolymer. A Homodisper was used to disperse 346.71 g of this polyurethane prepolymer solution in an aqueous

solution obtained by dissolving 12.32 g of sodium hydroxide in 639.12 g of water, for emulsification, and then 12.32 g of 2-[(2-aminoethyl)amino]ethanol diluted with 110.88 g of water was added to the polyurethane emulsion for chain extension reaction, and the acetonitrile used for synthesis of the polyurethane prepolymer was distilled off under reduced pressure of 150 mmHg at 50°C, to obtain polyurethane emulsion D containing substantially no solvent and having a solid content of 25% and a viscosity of 30 cps.

(Production Example 5)

To a four-necked flask equipped with a stirrer, Dimroth condenser, nitrogen introduction tube, silica gel drying tube and thermometer there were added 87.11 g of 3-isocyanatemethyl-3,5,5-trimethylcyclohexyl isocyanate, 31.88 g of 1,3-bis(1-isocyanate-1-methylethyl)benzene, 41.66 g of dimethylolpropionic acid, 4.67 g of triethylene glycol, 62.17 g of a polyester polyol of molecular weight 2000 comprising adipic acid and ethylene glycol, and 122.50 g of acetonitrile as a solvent, and after heating to 70°C in a nitrogen atmosphere and stirring for 4 hours, attainment of the prescribed number of amino equivalents was confirmed, to obtain an acetonitrile solution of a polyurethane prepolymer. A Homodisper was used to disperse 341.12 g of this polyurethane prepolymer solution in an aqueous solution obtained by dissolving 16.15 g of potassium hydroxide in 640.92 g of water, for emulsification, and then 12.12 g of 2-[(2-aminoethyl)amino]ethanol diluted with 109.08 g of water was added to the polyurethane emulsion for chain extension reaction, and the acetonitrile used for synthesis of the polyurethane prepolymer was distilled off under reduced pressure of 150 mmHg at 50°C, to obtain polyurethane emulsion E containing substantially no solvent and having a solid content of 25% and a viscosity of 30 cps.

3. Fabrication of lubrication surface treated metal articles

(Example 1)

Aqueous polyurethane composition obtained in

Production Example 1	500 pts. by wt.	
Solid lubricant (Note 1)	37 pts. by wt.	(10*)
Silica (Note 2)	75 pts. by wt.	(10*)

(*amount with respect to 100 as the urethane resin solid content)

(Note 1) Solid lubricant

Low-density type polyethylene wax resin with softening point: 110°C, mean particle size: 2.5 μm, solid content: 40%

(Note 2) Silica

Colloidal silica with mean particle size: 10-20 nm, pH 8.6, heated residue: approximately 20%.

Lubricating films with the structures described above and the compositional ratios listed in Tables 1 and 2 were coated onto the aforementioned metal sheets with a bar coater, and a heating furnace at 180°C was used for film formation by baking and drying at a metal sheet carry over temperature of 80°C.

Examples 1-27 and Comparative Examples 1-4 (Table 1), or Examples 1-29 and Comparative Examples 1-3 (Table 2)

Lubricating surface treated metal articles were fabricated in the same manner as Example 1, except that the metal sheets and lubricating film compositions were combined as shown in Tables 1 and 2. An acrylic resin was evaluated in the same manner as a comparison resin material.

The following tests and performance evaluations were conducted with these samples.

4. Testing and evaluation methods

(1) Die scratching evaluation

A molding test was carried out under the conditions

given below using a cylindrical punch hydraulic molding tester, and the die scratching was evaluated.

- punch diameter: 70 mmϕ
- blank diameter: 150 mm
- press load: 5 kgf/cm^2
- molding speed 3.3 x 10^{-2} m/s
- tool conditions: FCD-500

All of the samples were molded to 80% of the maximum molding height. The evaluation of the die scratching was based on the following scale.

◎ : shapeable, with no steel sheet surface defects

○ : shapeable, with no steel sheet surface defects but slight coloration of sliding surface

△ : shapeable, with some scratching flaws on steel sheet surface

× : shapeable, with considerable linear scratching flaws on steel sheet surface

The condition of resin scrap production after working was evaluated on the following scale.

◎ : no scrap production

○ : very slight resin scrap production

△ : some resin scrap production

× : considerable resin scrap production

(2) Degreasing property evaluation

An FC-4358 degreasing solution (pH adjusted to 10.5, temperature: 70°C, product of Nihon Parkerizing) was sprayed onto a test piece for 8 seconds and then rinsed, and after drying, the film residue rate was measured by infrared spectrophotometry and evaluated.

◎ : no film residue

○ : no greater than 5% film residue

△ : greater than 5% and no greater than 10% film residue

× :greater than 10% film residue

As shown in Tables 1 and 2, all of the lubricating surface treated metal articles according to the invention had excellent die scratching properties, low production of scrap after working and satisfactory film removal rates by alkali degreasing.

Industrial Applicability

According to the present invention there may be provided a coating composition allowing formation of alkali-soluble lubricating films with excellent press molding properties and scratch resistance, and lubricating surface treated metal articles employing it, which are expected to offer a notable contribution for uses of steel sheets that are subjected to alkali degreasing after shaping. The present invention may therefore be said to have a very high industrial value.

Table 1 Evaluation results

No.	Metal sheet type	Chromate coverage (mg/m^2)	Urethane resin type	Solid lubricant type and compositional ratio					Silica	Film thickness	Die scratching property evaluation results	Conditioning of post-working scrap production	Degreasing property evaluation (film residue after alkali degreasing)	Reference
				PE Wax A	PE Wax B	PTFE Wax	Paraffin Wax	Ca stearate wax						
				Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	(μm)				
1	Zinc electroplated steel	20	resin A	10					10	1.0	◎	◎	◎	Examples
2	Zinc/nickel alloy electroplated steel	20	resin A	10					10	1.0	◎	◎	◎	
3	Zinc/iron alloy electroplated steel	20	resin A	10					10	1.0	◎	◎	◎	
4	Zinc hot-dip plated steel	20	resin A	10					10	1.0	◎	◎	◎	
5	Zinc/aluminum alloy hot-dip plated steel	20	resin A	10					10	1.0	◎	◎	◎	
6	Zinc/iron alloy hot-dip plated steel	20	resin A	10					10	1.0	◎	◎	◎	
7	Aluminum/silicon alloy hot-dip plated steel	20	resin A	10					10	1.0	◎	◎	◎	
8	Aluminum/silicon/magnesium alloy hot-dip plated steel	20	resin A	10					10	1.0	◎	◎	◎	
9	Aluminum/silicon alloy hot-dip plated steel	20	resin A			10			10	1.0	◎	◎	◎	
10	Aluminum/silicon alloy hot-dip plated steel	20	resin A				10		10	1.0	◎	◎	◎	
11	Aluminum/silicon alloy hot-dip plated steel	20	resin A					10	10	1.0	◎	◎	◎	
12	Aluminum/silicon alloy hot-dip plated steel	20	resin A	10					10	2.0	◎	◎	◎	
13	Aluminum/silicon alloy hot-dip plated steel	20	resin A	10					10	3.0	◎	◎	◎	
14	Aluminum/silicon alloy hot-dip plated steel	20	resin A	10					10	5.0	◎	◎	◎	
15	Aluminum/silicon alloy hot-dip plated steel	20	resin A	5					10	1.0	◎	◎	◎	
16	Aluminum/silicon alloy hot-dip plated steel	20	resin A	25					10	1.0	◎	◎	◎	
17	Aluminum/silicon alloy hot-dip plated steel	20	resin A		10				10	1.0	◎	◎	◎	
18	Aluminum/silicon alloy hot-dip plated steel	20	resin B	10					10	1.0	◎	◎	◎	
19	Aluminum/silicon alloy hot-dip plated steel	20	resin C	10					10	1.0	◎	◎	○	
20	Stainless steel	untreated	resin A	10					10	1.0	◎	◎	◎	
21	Stainless steel	untreated	resin A			10			10	1.0	◎	◎	◎	
22	Stainless steel	untreated	resin A				10		10	1.0	◎	◎	◎	
23	Stainless steel	untreated	resin A					10	10	1.0	◎	◎	◎	
24	Stainless steel	untreated	resin A	10					10	0.5	◎	◎	◎	

25	Stainless steel	untreated	resin A	10					10	3.0	◎	◎	◎	
26	Stainless steel	untreated	resin B	10					10	1.0	◎	◎	◎	
27	Stainless steel	untreated	resin C	10					10	1.0	◎	◎	○	
1	Aluminum/silicon alloy hot-dip plated steel	20	resin F	10					10	1.0	△	△	△	Comp. Ex.
2	Aluminum/silicon alloy hot-dip plated steel	20	resin A	10					10	0.2	X	X	◎	
3	Stainless steel	untreated	resin F	10					10	1.0	△	△	△	
4	Stainless steel	untreated	resin F	10					10	0.2	X	X	◎	

Resins

resin A: polyurethane resin

resin B: polyurethane resin

resin C: polyurethane resin

resin F: acrylic resin (comparison material)

Solid lubricant types

PE wax A: low density polyethylene wax, softening point: 110°C, mean particle size: 4.0 μm

PE wax B: low density polyethylene wax, softening point: 110°C, mean particle size: 1.0 μm

PTFE wax : polytetrafluoroethylene wax, mean particle size: 3.5 μm

paraffin wax: synthetic paraffin wax, melting point: 105°C, mean particle size: 5.0 μm

calcium stearate wax: mean particle size: 2.0 μm

Silica

Colloidal silica: mean particle size: 10-20 nm, pH 8.6, heating residue: approximately 20%

Table 2 Evaluation results

No.	Metal sheet type	Chromate coverage (mg/m^2)	Urethane resin type	Solid lubricant type and compositional ratio					Silica	Film thickness	Die scratching property evaluation results	Condition of post-working scrap production	Degreasing property evaluation (film residue after alkali degreasing)	Reference
				PE Wax A	PE Wax B	PTFE Wax	Paraffin Wax	Ca stearate wax						
				Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	Amount added (%)	(μm)				
28	Zinc electroplated steel	20	resin D	10					10	1.0	◎	◎	◎	Examples
29	Zinc/nickel alloy electroplated steel	20	resin D	10					10	1.0	◎	◎	◎	
30	Zinc/iron alloy electroplated steel	20	resin D	10					10	1.0	◎	◎	◎	
31	Zinc hot-dip plated steel	20	resin D	10					10	1.0	◎	◎	◎	
32	Zinc/aluminum alloy hot-dip plated steel	20	resin D	10					10	1.0	◎	◎	◎	
33	Zinc/iron alloy hot-dip plated steel	20	resin D	10					10	1.0	◎	◎	◎	
34	Aluminum/silicon alloy hot-dip plated steel	20	resin D	10					10	1.0	◎	◎	◎	
35	Aluminum/silicon/magnesium alloy hot-dip plated steel	20	resin D	10					10	1.0	◎	◎	◎	
36	Aluminum/silicon alloy hot-dip plated steel	20	resin D			10			10	1.0	◎	◎	◎	
37	Aluminum/silicon alloy hot-dip plated steel	20	resin D				10		10	1.0	◎	◎	◎	
38	Aluminum/silicon alloy hot-dip plated steel	20	resin D					10	10	1.0	◎	◎	◎	
39	Aluminum/silicon alloy hot-dip plated steel	20	resin D	10					10	2.0	◎	◎	◎	
40	Aluminum/silicon alloy hot-dip plated steel	20	resin D	10					10	3.0	◎	◎	◎	
41	Aluminum/silicon alloy hot-dip plated steel	20	resin D	10					10	5.0	◎	◎	◎	
42	Aluminum/silicon alloy hot-dip plated steel	20	resin D	5					10	1.0	◎	◎	◎	
43	Aluminum/silicon alloy hot-dip plated steel	20	resin D	10					0	1.0	◎	◎	◎	
44	Aluminum/silicon alloy hot-dip plated steel	20	resin D		10				10	1.0	◎	◎	◎	
45	Aluminum/silicon alloy hot-dip plated steel	20	resin E	10					10	1.0	◎	◎	◎	
46	Stainless steel	untreated	resin D	10					10	1.0	◎	◎	◎	
47	Stainless steel	untreated	resin D			10			10	1.0	◎	◎	◎	
48	Stainless steel	untreated	resin D				10		10	1.0	◎	◎	◎	
49	Stainless steel	untreated	resin D					10	10	1.0	◎	◎	◎	
50	Stainless steel	untreated	resin D	10					10	0.5	◎	◎	◎	

51	Stainless steel	untreated	resin D	10					0	1.0	◎	◎	◎	
52	Stainless steel	untreated	resin E	10					10	1.0	◎	◎	◎	
53	Aluminum alloy	untreated	resin A	10					10	1.0	◎	◎	◎	
54	Aluminum alloy	untreated	resin D	10					10	1.0	◎	◎	◎	
55	Cold-rolled steel	untreated	resin A	10					10	1.0	◎	◎	◎	
56	Cold-rolled steel	untreated	resin D	10					10	1.0	◎	◎	◎	Comp. Ex.
5	Aluminum alloy	untreated	resin F	10					10	1.0	△	△	△	
6	Cold-rolled steel	untreated	resin F	10					10	1.0	△	△	△	
7	Cold-rolled steel	untreated	resin D	10					10	0.2	X	X	◎	

Resins
resin D: polyurethane resin
resin E: polyurethane resin
resin F: acrylic resin (comparison material)

Solid lubricant types
PE wax A: low density polyethylene wax, softening point: 110°C, mean particle size: 4.0 μm
PE wax B: low density polyethylene wax, softening point: 110°C, mean particle size: 1.0 μm
PTFE wax : polytetrafluoroethylene wax,
mean particle size: 3.5 μm
paraffin wax: synthetic paraffin wax, melting point: 105°C,
mean particle size: 5.0 μm
calcium stearate wax: mean particle size: 2.0 μm

Silica
Colloidal silica: mean particle size: 10-20 nm, pH 8.6, heating residue: approximately 20%

CLAIMS

1. A coating composition capable of forming an alkali-soluble lubricating film, which contains an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the solid content of said aqueous polyurethane composition, wherein said aqueous polyurethane composition is film-formable and its formed films are alkali-soluble.

2. A coating composition according to claim 1, which further contains silica particles (C) at 1-30 wt% with respect to the solid content of said aqueous polyurethane composition.

3. A coating composition according to claim 1 or 2, wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

4. A coating composition according to claim 1 or 2, wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

5. A coating composition according to claim 4, wherein said hydrophilic group of the aqueous polyurethane composition (A) is a Na salt or K salt of a carboxyl group or sulfonic acid group.

6. A coating composition according to claim 5, wherein the carboxyl group or sulfonic acid group is contained in the aqueous polyurethane composition (A) to an acid value in the range of 25-180.

7. A coating composition according to claim 3, wherein the polyester polyol composing the aqueous polyurethane composition (A) is prepared by reacting ethylene glycol with an aliphatic dibasic acid or its dialkyl ester, or a mixture thereof.

8. A coating composition according to any one of claims 1 to 5, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-

based waxes and stearic acid-based waxes.

9. A lubricating surface treated metal article wherein a lubricating film comprising a polyurethane resin (A') and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to said polyurethane resin, is formed on the surface of a metal article, wherein said lubricating film is alkali soluble and the film thickness is 0.5-5 μm.

10. A lubricating surface treated metal article according to claim 9, wherein said lubricating film contains silica particles (C) at 1-30 wt% with respect to said polyurethane resin.

11. A lubricating surface treated metal article according to claim 9 or 10, wherein the polyurethane resin (A') comprises a polyester polyol.

12. A lubricating surface treated metal article according to claim 9 or 10, wherein the polyurethane resin (A') contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

13. A lubricating surface treated metal article according to claim 12, wherein said hydrophilic group of the polyurethane resin (A') is a Na salt or K salt of a carboxyl group or sulfonic acid group.

14. A lubricating surface treated metal article according to claim 13, wherein the carboxyl group or sulfonic acid group is contained in the polyurethane resin (A') to an acid value in the range of 25-180.

15. A lubricating surface treated metal article according to claim 11, wherein the polyester polyol composing the polyurethane resin (A') is prepared by reacting ethylene glycol with an aliphatic dibasic acid or its dialkyl ester, or a mixture thereof.

16. A lubricating surface treated metal article according to any one of claims 9 to 14, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based

waxes.

17. A process for production of metal articles that comprises

i) a step of coating the surface of a metal article with a coating composition containing an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the solid content of said aqueous polyurethane composition (A), said aqueous polyurethane composition being film-formable and the formed film being alkali soluble, to form an alkali-soluble lubricating film,

ii) a step of shape working the metal article surface treated with said alkali-soluble lubricating film, and

iii) a step of treating said shape worked metal article with an alkali to remove said alkali-soluble lubricating film.

18. A process according to claim 17, wherein silica particles (C) are also included at 1-30 wt% with respect to the solid content of said aqueous polyurethane composition.

19. A process according to claim 17 or 18, wherein the aqueous polyurethane composition (A) comprises a polyester polyol.

20. A process according to claim 17 or 18, wherein the aqueous polyurethane composition (A) contains a carboxyl group or sulfonic acid group or salt thereof as a hydrophilic group.

21. A process according to claim 20, wherein said hydrophilic group of the aqueous polyurethane composition (A) is a Na salt or K salt of a carboxyl group or sulfonic acid group.

22. A process according to claim 21, wherein the carboxyl group or sulfonic acid group is contained in the aqueous polyurethane composition (A) to an acid value in the range of 25-180.

23. A process according to claim 19, wherein the

polyester polyol composing the aqueous polyurethane composition (A) is prepared by reacting ethylene glycol with an aliphatic dibasic acid or its dialkyl ester, or a mixture thereof.

24. A process according to any one of claims 19 to 23, wherein the lubricating functionality-providing agent (B) comprises one or more from among polyolefin-based waxes, fluorine-based waxes, paraffin-based waxes and stearic acid-based waxes.

ABSTRACT

A coating composition capable of forming an alkali-soluble lubricating film, which contains as main components an aqueous polyurethane composition (A) and a lubricating functionality-providing agent (B) at 1-30 wt% with respect to the solid content of the aqueous polyurethane composition, having excellent press moldability and scratch resistance, as well as lubricating surface treated metal articles with excellent press moldability and scratch resistance, that are coated with the coating composition to a dry film thickness of 0.5-5 μm. The coating composition preferably further contains as a main component silica particles (C) in an amount of 1-30 wt% with respect to the solid content of the aqueous polyurethane composition.

Fig.1

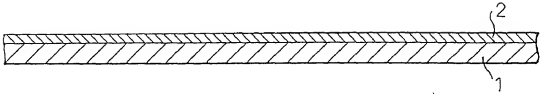


Fig.2

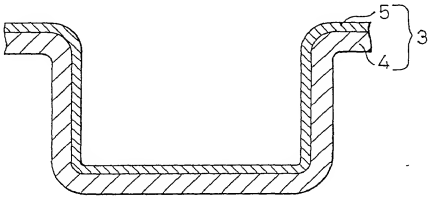
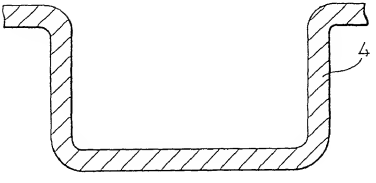


Fig.3



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COATING COMPOSITION CAPABLE OF FORMING ALKALI-SOLUBLE LUBRICATING FILM SUITABLE FOR SHAPE WORKING, AND USES THEREFOR

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

[ ] __月__日に提出され、米国出願番号または特許協定条約国際出願番号を_____とし、
（該当する場合）_____ に訂正されました。

[X] was filed on August 23, 2001
as United States Application Number or
PCT International Application Number
PCT/JP00/01127 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第３７編第１条５６項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration
(日本語宣言書)

私は、米国法典第３５編１１９条(a)-(d)項又は３６５条(b)項に基き下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約３６５条(a)項に基ずく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)
外国での先行出願

(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願年月日)	Priority Not Claimed 優先権主張なし
11-49530(Pat. Appln.)	Japan	26/February/1999	☐
11-360476(Pat. Appln.)	Japan	20/December/1999	☐

私は、第３５編米国法典１１９条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)

私は、下記の米国法典第３５編１２０条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約３６５条(c)に基ずく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第３５編１１２条第１項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第３７編１条５６項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況：特許許可済、係属中、放棄済)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基ずく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第１８編第１００１条に基ずき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。（弁護士、または代理人の氏名及び登録番号を明記のこと）

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith *(list name and registration number)*

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国籍		Citizenship Japanese
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国　籍		Citizenship
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第十共同発明者		Full name of tenth joint inventor, if any
第十共同発明者	日付	Tenth inventor's signature Date
住　所		Residence
国　籍		Citizenship
私書箱		Post Office Address

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(Supply similar information and signature for eleventh and subsequent joint inventors.)